

Electronic Acknowledgement Receipt

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|---|---------------------------------|
| EFS ID: | 3662204 |
| Application Number: | 10801238 |
| International Application Number: | |
| Confirmation Number: | 2021 |
| Title of Invention: | Public offering risk management |
| First Named Inventor/Applicant Name: | David Lawrence |
| Customer Number: | 28062 |
| Filer: | Nathaniel Levin/Edith Martin |
| Filer Authorized By: | Nathaniel Levin |
| Attorney Docket Number: | G08,147/U |
| Receipt Date: | 23-JUL-2008 |
| Filing Date: | 15-MAR-2004 |
| Time Stamp: | 12:20:33 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| | |
|--|-----------------|
| Submitted with Payment | yes |
| Payment Type | Credit Card |
| Payment was successfully received in RAM | \$ 630 |
| RAM confirmation Number | 9803 |
| Deposit Account | 501852 |
| Authorized User | LEVIN,NATHANIEL |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|------------------------|------------------------------|--|------------------|------------------|
| 1 | Notice of Appeal Filed | G08147U_Notice_of_Appeal.pdf | 574212 71296d85d0c4e481b0b5a1b4f503a38f35a08276 | no | 2 |

Warnings:**Information:**

| | | | | | |
|---|-------------------|---------------------------------|--|----|---|
| 2 | Extension of Time | G08147U_1MO_ExtensionOfTime.pdf | 261351 da331b4ad15a771c6d1512435a1947a93dbec208 | no | 1 |
|---|-------------------|---------------------------------|--|----|---|

Warnings:**Information:**

| | | | | | |
|---|------------------------|--------------|--|----|---|
| 3 | Fee Worksheet (PTO-06) | fee-info.pdf | 8287 17d4d21e4b089ca2233e3d2c7b7b1c15746e92ad | no | 2 |
|---|------------------------|--------------|--|----|---|

Warnings:**Information:****Total Files Size (in bytes):**

843850

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.